

Université Libre de Bruxelles  
Actualités en médecine factuelle  
Institut Bordet, Bruxelles, 24 février 2018

# Comment adapter la recherche clinique aux différents niveaux de preuve ?

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# Agenda

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- Interventional / observational
- Evidence along the drug development : efficacy / safety
- Pragmatic trials, comparative effectiveness
- Personalised / stratified medicine : biomarker profiles, new trial designs
- Data reuse, data sharing

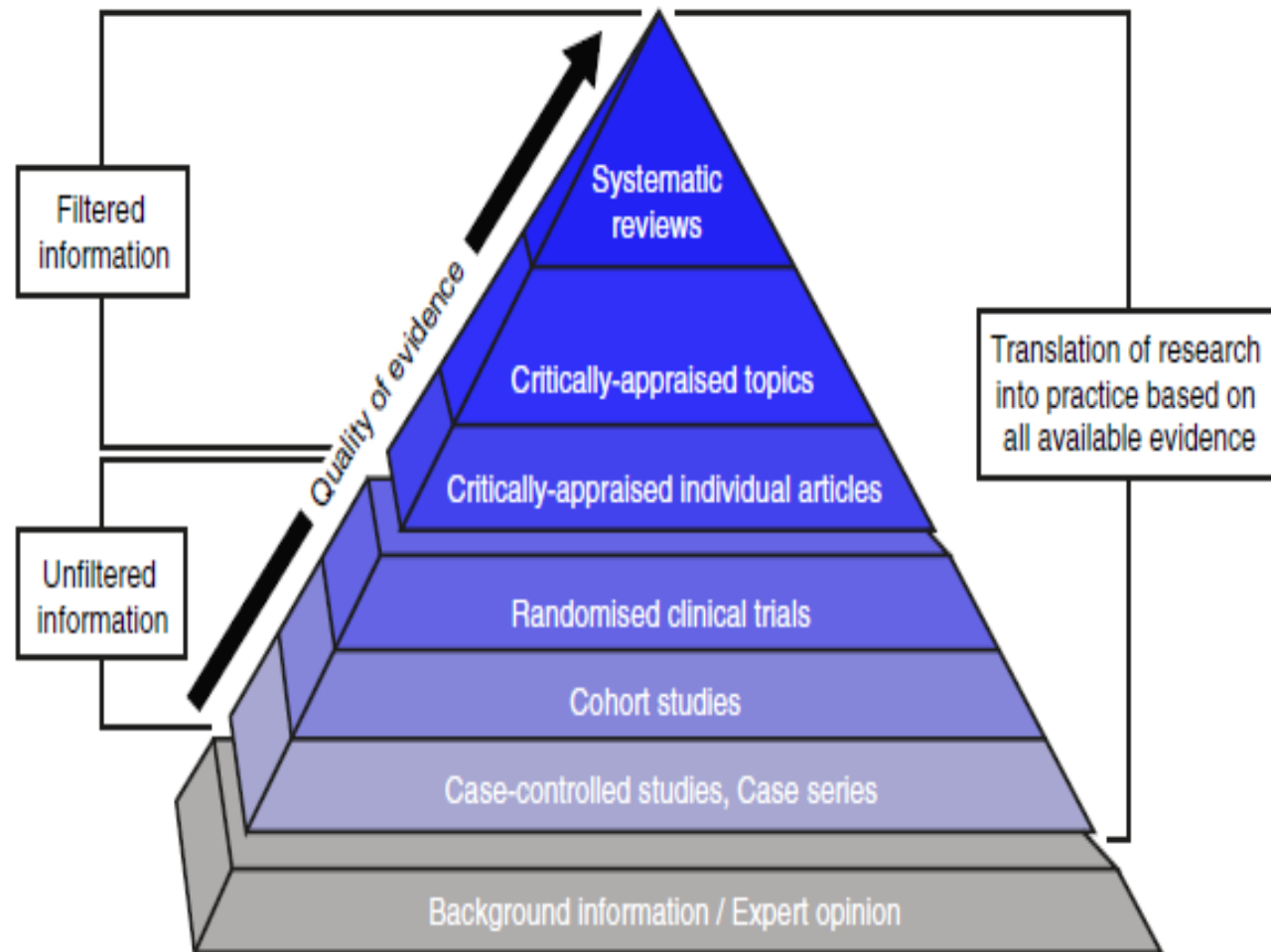


**Interventional / observational**

# Levels of scientific evidence

**Interventional**  
*(causality)*

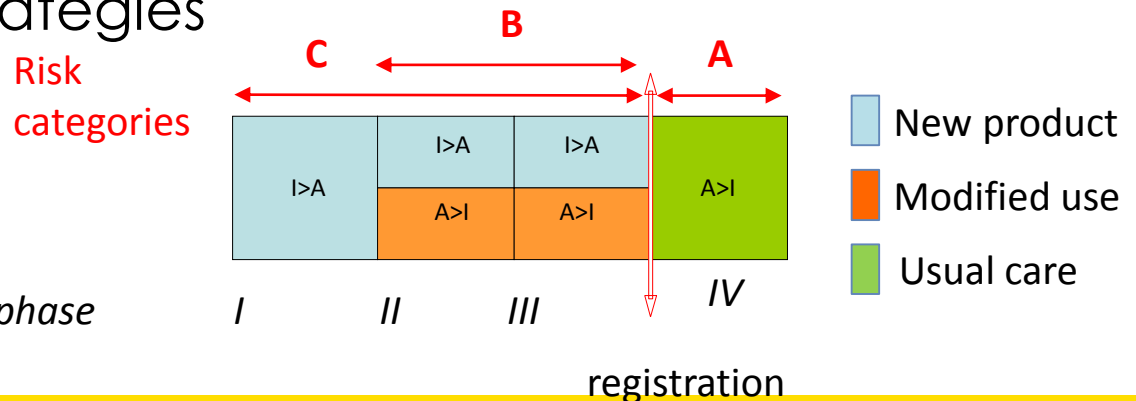
**Observational**  
*(correlation)*



# Need for clinical trials



- **1 - Development of innovative health products**
  - registration trials
  - phase I – II – III
- **2 - Repurposing trials**
  - exploring new indications for authorised products
  - phase II – III
- **3 - Comparative efficacy/ safety / effectiveness trials**
  - compare efficacy and safety of authorised healthcare strategies
  - phase IV



# Methodology and design

European Journal of Internal Medicine 32 (2016) 13–21



Contents lists available at ScienceDirect

European Journal of Internal Medicine

journal homepage: [www.elsevier.com/locate/ejim](http://www.elsevier.com/locate/ejim)



## Review Article

### Evidence-based clinical practice: Overview of threats to the validity of evidence and how to minimise them



Silvio Garattini<sup>a</sup>, Janus C. Jakobsen<sup>b,c</sup>, Jørn Wetterslev<sup>b</sup>, Vittorio Bertelé<sup>a</sup>, Rita Banzi<sup>a</sup>, Ana Rath<sup>d</sup>, Edmund A.M. Neugebauer<sup>e</sup>, Martine Laville<sup>f</sup>, Yvonne Masson<sup>f</sup>, Virginie Hivert<sup>f</sup>, Michaela Eikermann<sup>g</sup>, Burc Aydin<sup>h</sup>, Sandra Ngwabyt<sup>d</sup>, Cecilia Martinho<sup>i</sup>, Chiara Gerardi<sup>a</sup>, Cezary A. Szmigielski<sup>j</sup>, Jacques Demotes-Mainard<sup>k</sup>, Christian Glud<sup>b,\*</sup>

Djurisic *et al. Trials* (2017) 18:360  
DOI 10.1186/s13063-017-2099-9

Trials

REVIEW

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### Barriers to the conduct of randomised clinical trials within all disease areas



Snezana Djurisic<sup>1\*</sup>, Ana Rath<sup>2</sup>, Sabrina Gaber<sup>3</sup>, Silvio Garattini<sup>4</sup>, Vittorio Bertele<sup>4</sup>, Sandra-Nadia Ngwabyt<sup>2</sup>, Virginie Hivert<sup>5</sup>, Edmund A. M. Neugebauer<sup>6</sup>, Martine Laville<sup>7</sup>, Michael Hiesmayr<sup>8</sup>, Jacques Demotes-Mainard<sup>3</sup>, Christine Kubiak<sup>3</sup>, Janus C. Jakobsen<sup>1,9</sup> and Christian Glud<sup>1\*</sup>



# Methodology and design

Rath et al. *Trials* (2017) 18:556  
DOI 10.1186/s13063-017-2287-7

Trials

Neugebauer et al. *Trials* (2017) 18:427  
DOI 10.1186/s13063-017-2168-0

Trials

REVIEW

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## A systematic literature review of evidence-based clinical practice for rare diseases: what are the perceived and real barriers for improving the evidence and how can they be overcome?

Ana Rath<sup>1</sup>, Valérie Salamon<sup>1</sup>, Sandra Peixoto<sup>1</sup>, Virginie Hivert<sup>2</sup>, Martine Laville<sup>3</sup>, Berenice Segrestin<sup>3</sup>, Edmund A. M. Neugebauer<sup>4</sup>, Michaela Ekermann<sup>5</sup>, Vittorio Bertele<sup>6</sup>, Silvio Garattini<sup>6</sup>, Jørn Wetterslev<sup>7</sup>, Rita Barzof, Janus C. Jakobsen<sup>7,8</sup>, Snezana Djuricic<sup>7,9</sup>, Christine Kubiak<sup>8</sup>, Jacques Demotes-Mainard<sup>8</sup> and Christian Glud<sup>7\*</sup>

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## Specific barriers to the conduct of randomised clinical trials on medical devices

Edmund A. M. Neugebauer<sup>1</sup>, Ana Rath<sup>2</sup>, Surya-Lee Antoine<sup>3</sup>, Michaela Ekermann<sup>3</sup>, Doerthe Seidel<sup>1</sup>, Carsten Koenen<sup>3</sup>, Esther Jacobs<sup>3</sup>, Dawid Pieper<sup>3</sup>, Martine Laville<sup>4</sup>, Séverine Pitel<sup>5</sup>, Cecilia Martinho<sup>6</sup>, Snezana Djuricic<sup>7\*</sup>, Jacques Demotes-Mainard<sup>8</sup>, Christine Kubiak<sup>8</sup>, Vittorio Bertele<sup>9</sup>, Janus C. Jakobsen<sup>7,10</sup>, Silvio Garattini<sup>9</sup> and Christian Glud<sup>7\*</sup>

Laville et al. *Trials* (2017) 18:425  
DOI 10.1186/s13063-017-2169-8

Trials

REVIEW

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## Evidence-based practice within nutrition: what are the barriers for improving the evidence and how can they be dealt with?

Martine Laville<sup>1</sup>, Berenice Segrestin<sup>1</sup>, Maud Alligier<sup>1</sup>, Cristina Ruano-Rodríguez<sup>2,3</sup>, Lluís Serra-Majem<sup>2,3</sup>, Michael Hiesmayr<sup>4</sup>, Annemie Schols<sup>5</sup>, Carlo La Vecchia<sup>6</sup>, Yves Boirie<sup>7</sup>, Ana Rath<sup>8</sup>, Edmund A. M. Neugebauer<sup>9</sup>, Silvio Garattini<sup>10</sup>, Vittorio Bertele<sup>10</sup>, Christine Kubiak<sup>11</sup>, Jacques Demotes-Mainard<sup>11</sup>, Janus C. Jakobsen<sup>12,13</sup>, Snezana Djuricic<sup>12\*</sup> and Christian Glud<sup>12\*</sup>

# Clinical trial design and methodology

- **Clinical trials :**
  - prospective experiment
  - compare randomised groups
  - no confounding factor, causality
- **Ideally :**
  - randomised
  - double-blind
  - controlled



# Challenges in randomized clinical trials

## ■ Controls

- placebo
- active comparator
- uncontrolled ? placebo effect, regression to the mean
- historical control ? patient, treatment, evaluation may differ
- ideally concurrent : same time period

## ■ Randomisation

- simple (unbalanced ?)
- blocked (predictability ?)
- stratified (balance sex, age)

# Challenges in randomized clinical trials

## ■ Outcome measures

- standardized, patient-relevant, avoid surrogate endpoints
- statistical power calculated for primary endpoint
- long-term outcome (device) : trial then registry

## ■ Blinding : avoid bias

- double blind : patients and investigators
  - single blind
  - open
  - blinded independent review
- 
- Similar treatments, or double-dummy
  - Surgery trials : sham ?
  - Psychotherapy, physiotherapy ?
    - make assessors blind

# Challenges in randomized clinical trials

- **Learning curve**
  - randomise the first patient, analyse 2 groups
- **Statistical analysis plan pre-specified (and registered)**
  - sample size calculation based on primary endpoint
  - avoid multiple tests and post-hoc analysis
  - adjustment for multiplicity : Bonferroni, Hochberg



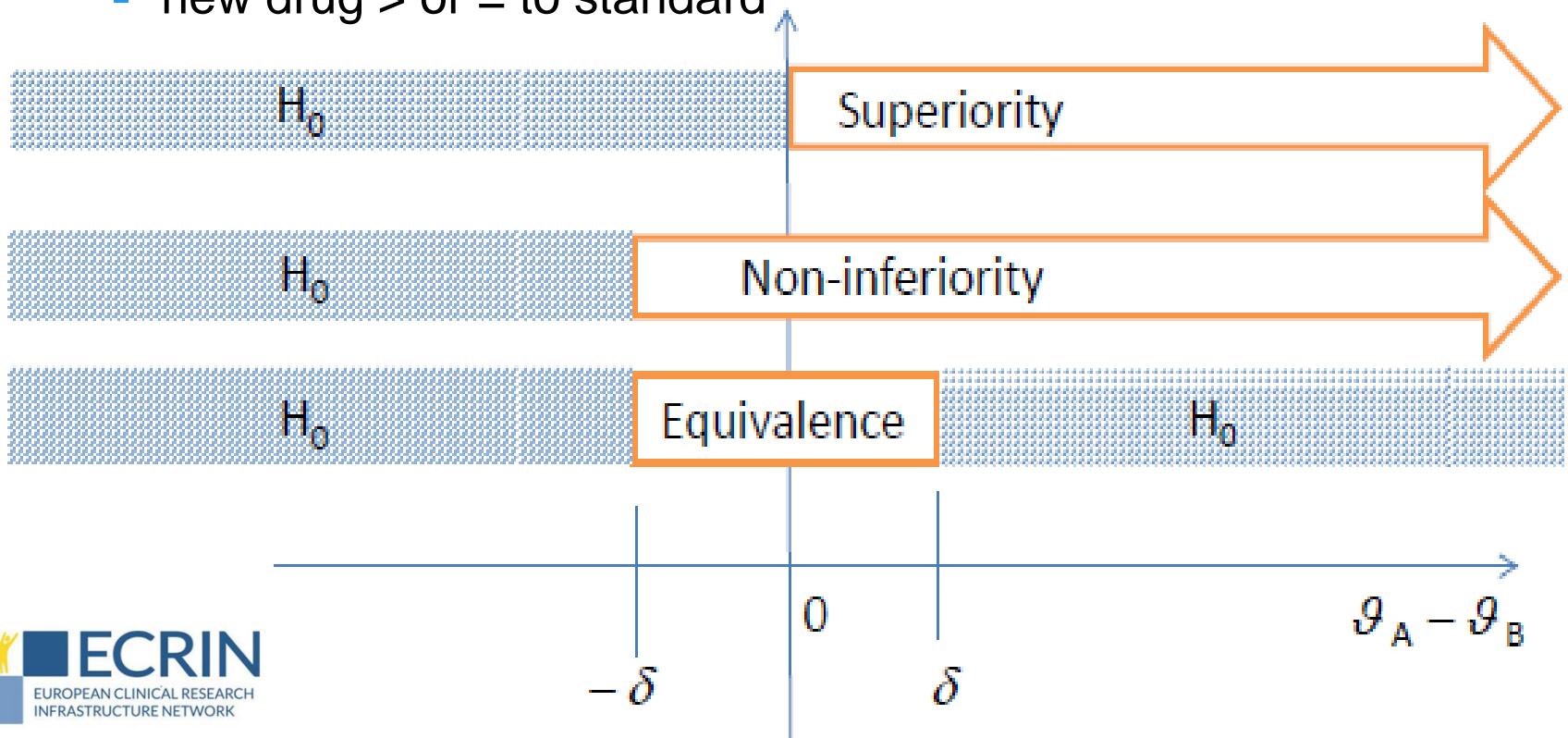
- **Sample size calculation**

- more patients -> more chance to detect effect (if any)
- large effect -> small sample size

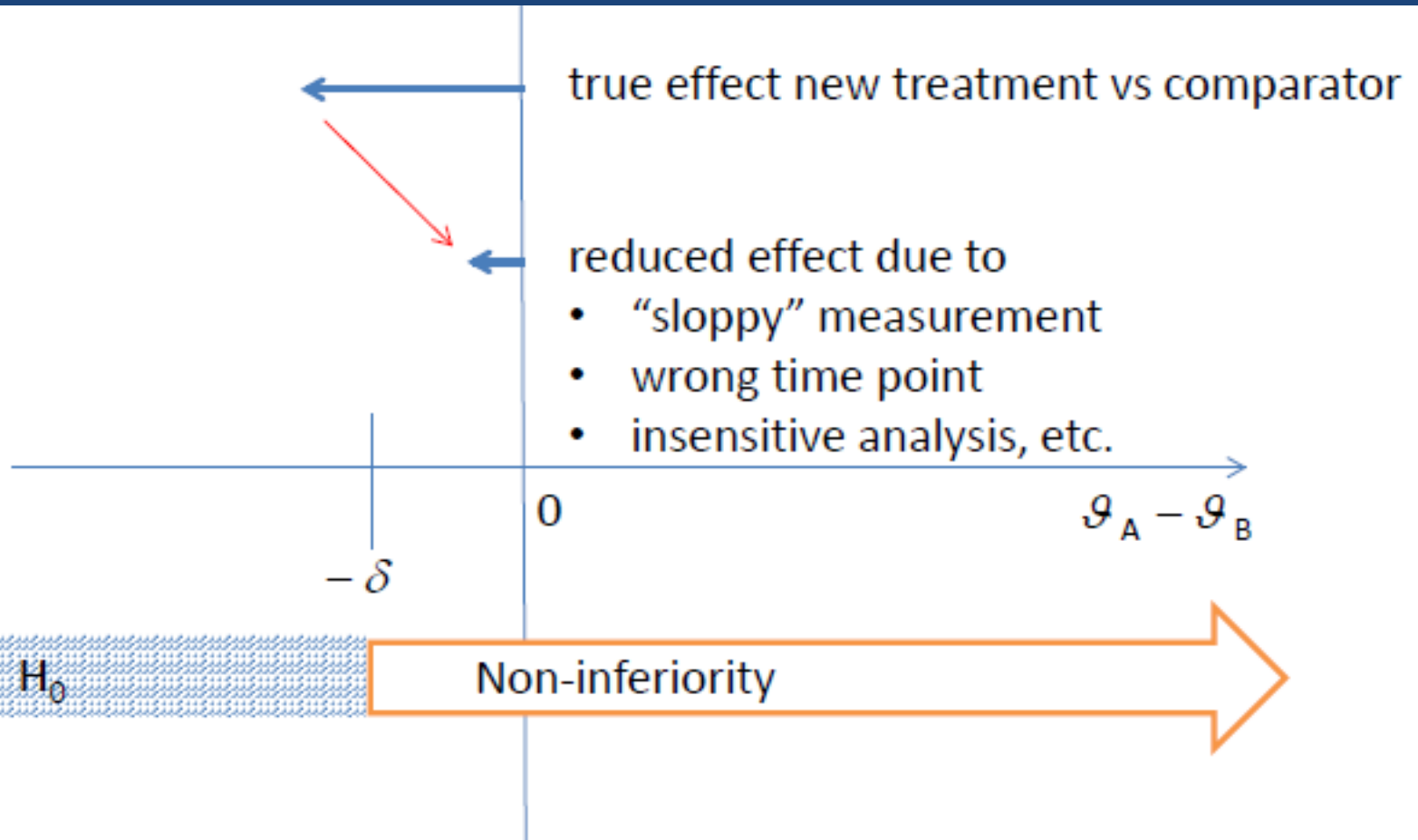
$$n = 2 (Z\alpha + Z [1-\beta])^2 \times SD^2 / d^2$$


# Superiority, non-inferiority, equivalence

- **Design : based on question**
  - new drug > placebo
  - new drug + standard > standard
  - new drug > or = to standard



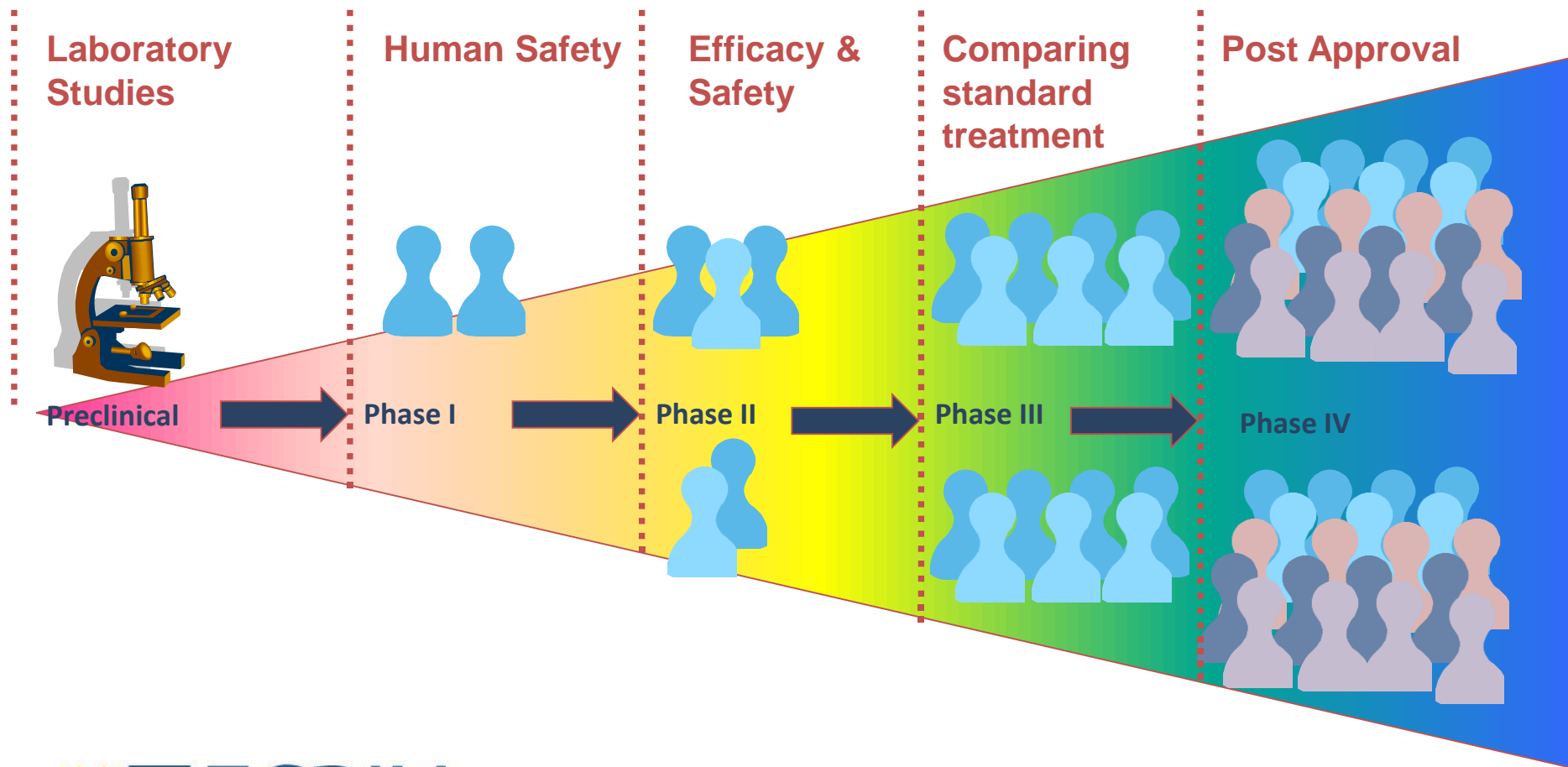
# In insensitive non-inferiority trial



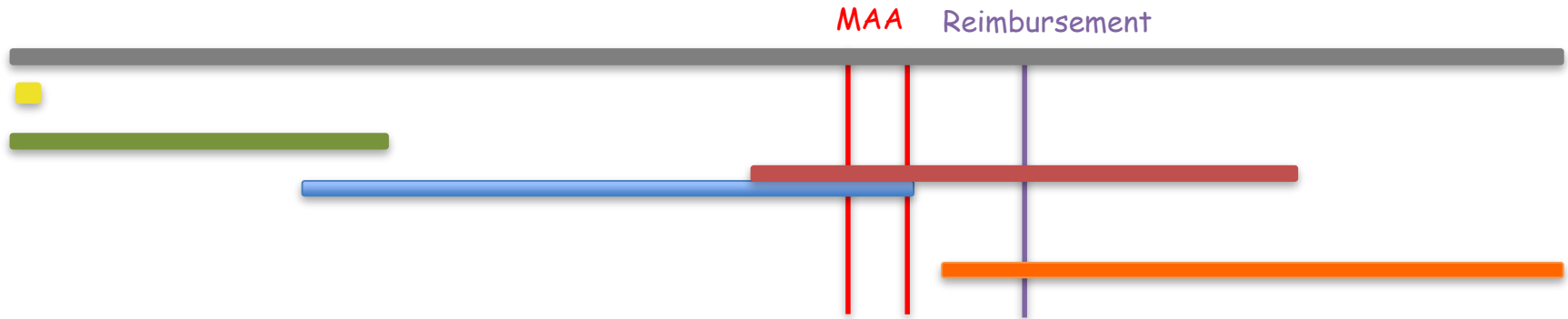


**Evidence along the drug development :**  
**efficacy / safety**

# Stages of clinical development



# Evidence along the drug development process



## Non-clinical testing

### Pre-MA

- Phase I
- Phase II
- Phase III
- Late phase III – LST

### Post-MA

- Late phase III - LSS
- PASS  
(observational /  
interventional)
- PAES



# Pragmatic trials, comparative effectiveness

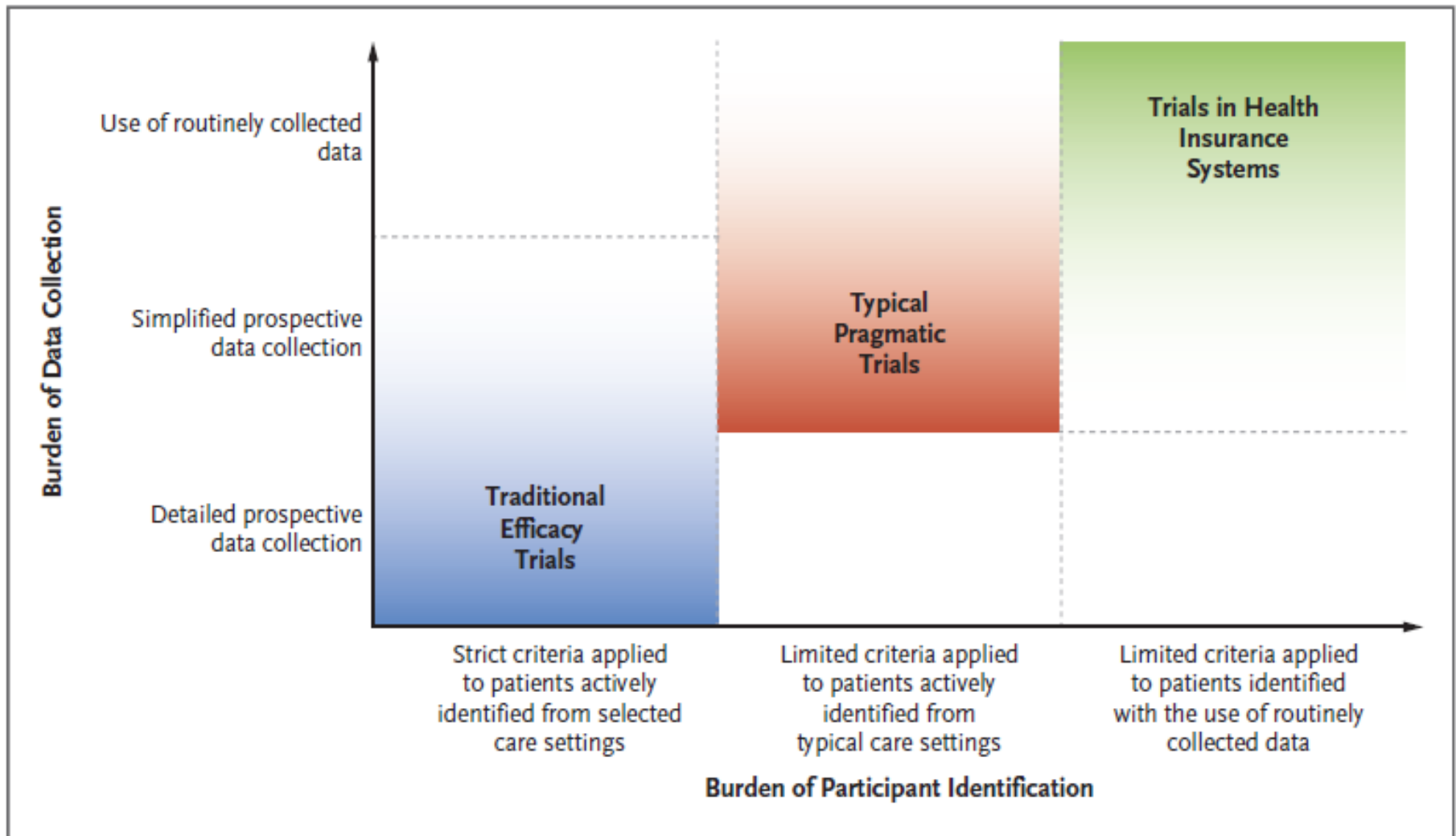
# Heterogeneity of patient population

## Explanatory trials



## Pragmatic trials





**Figure 1. Typical Features of Different Types of Randomized, Controlled Trials (RCTs).**

The figure represents the general differences in the characteristics of traditional “efficacy” RCTs, pragmatic RCTs, and RCTs based in health insurance systems.

**Personalised / stratified medicine :**  
**biomarker profiles**  
**new trial designs**

**Trial centred on the patient, not on the product:**  
*what is the best treatment option for this patient / group of patients ?*

**Biomarker stratification ?**



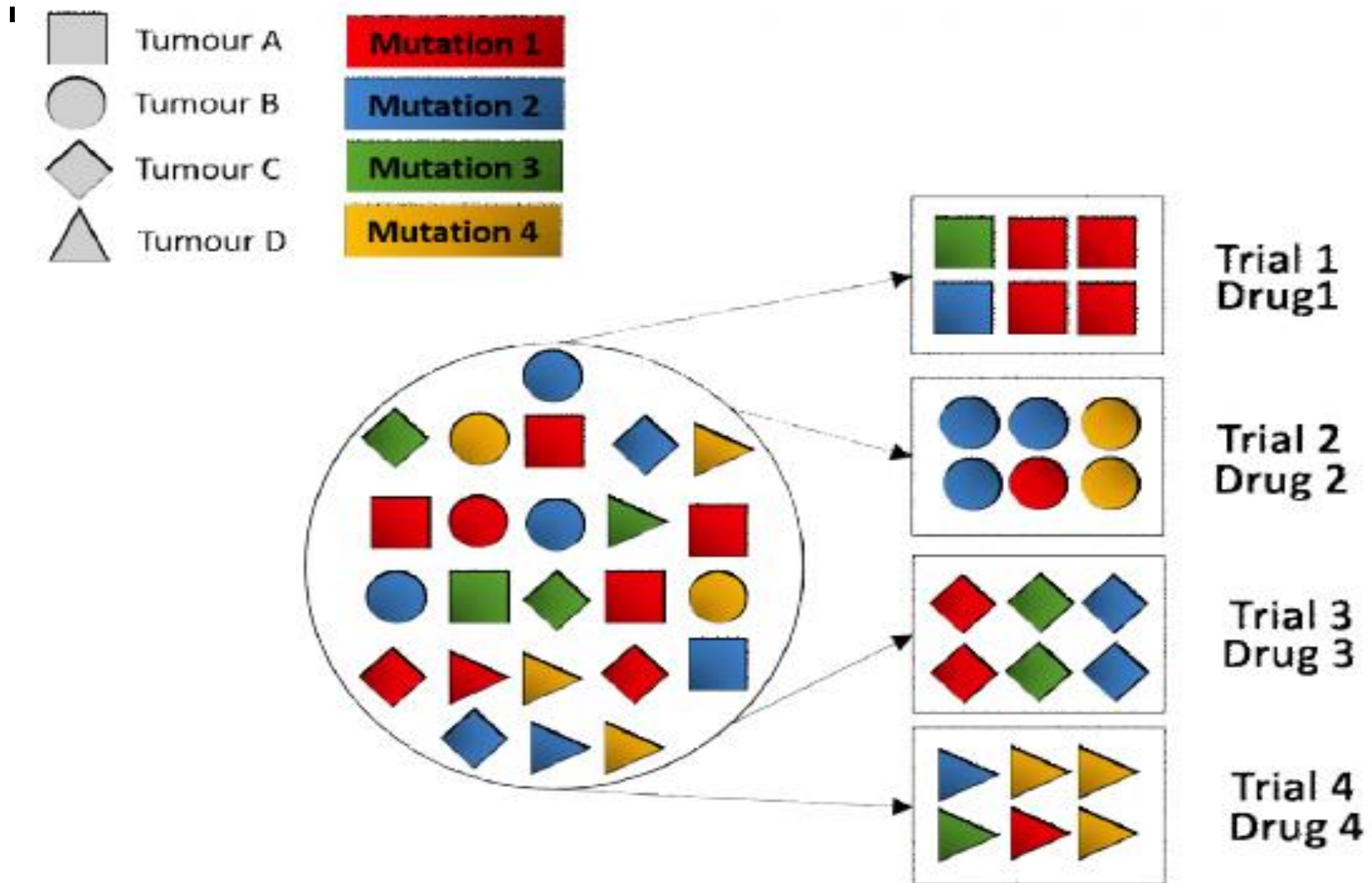


# Personalised / stratified / precision medicine : biomarker profiling, big data and artificial intelligence

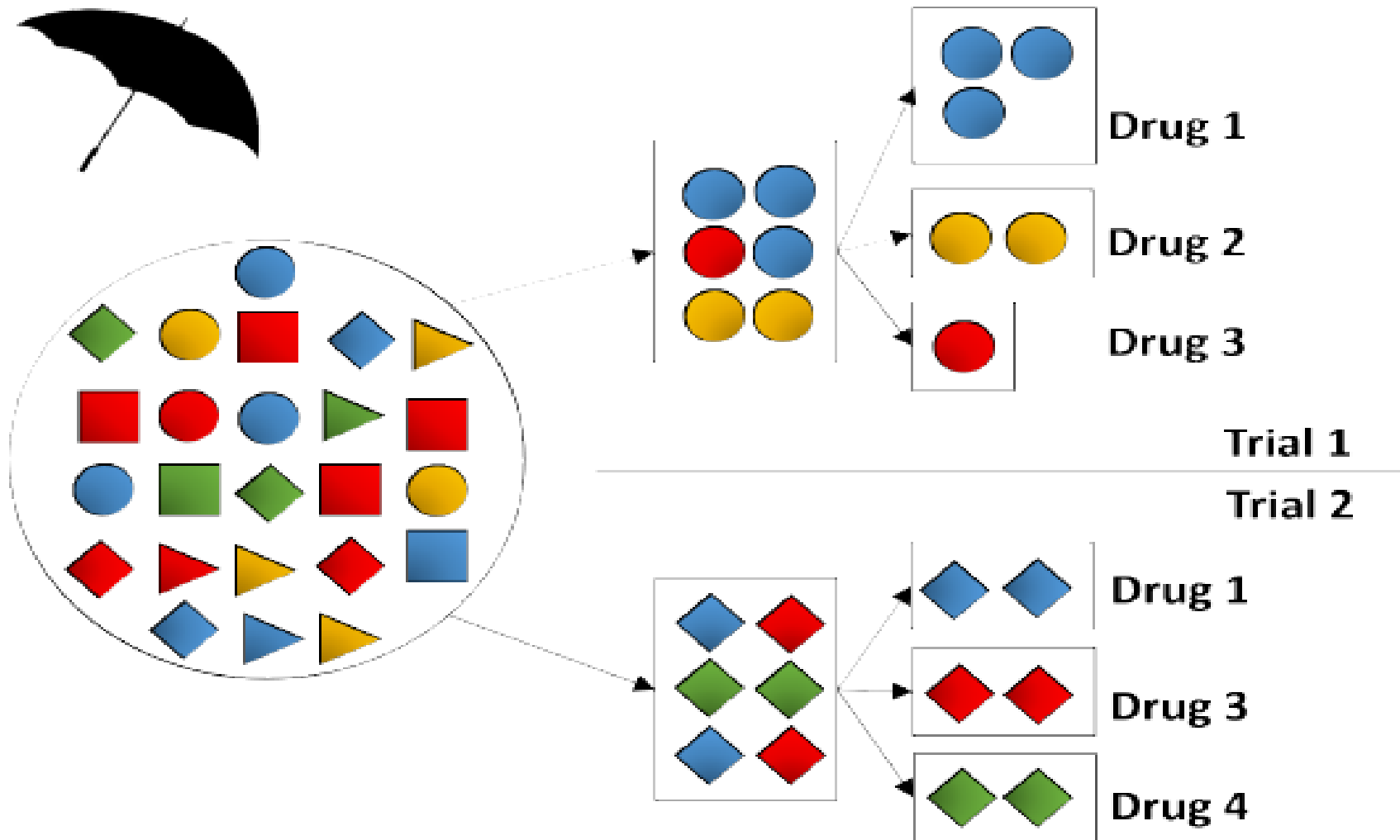
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- **Clinical data**
  - observational, retrospective or prospective, cohort integration
- **Omics**
  - Genotype : genomics (germinal, somatic, microbiome)
  - Phenotype : transcriptomic, proteomic, metabolomic profiles
- **Imaging**
- **Multimodal data management (secure data warehouse)**
- **Multimodal data analysis for patient stratification**
  - Hypothesis-driven
  - Data-driven : machine learning

# Non-targeted therapy : tumor histology determines treatment

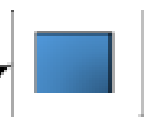
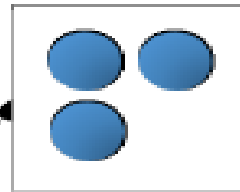
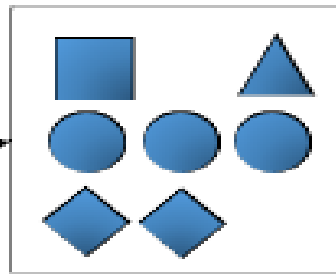
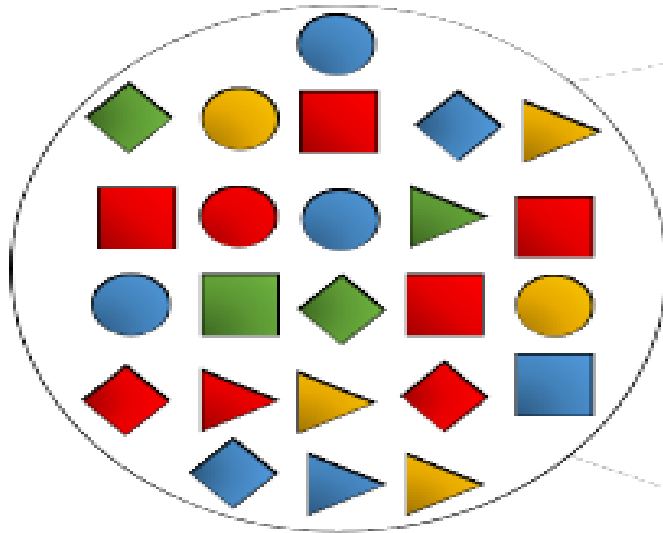


# Biomarker-stratified subgroups within the same disease condition

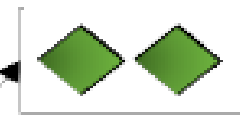
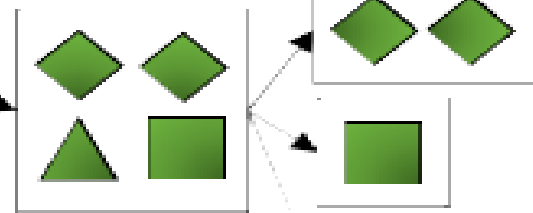




# Biomarker-stratified subgroups across multiple disease conditions



Drug 1



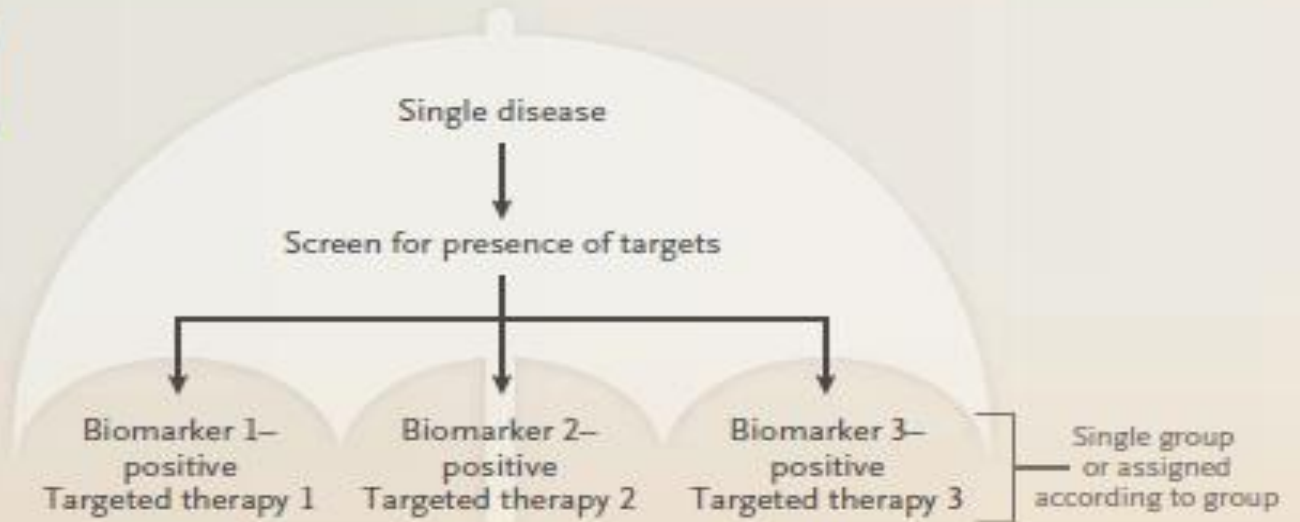
Drug 2

# Umbrella vs. basket trials

N Engl J Med 2017;377:62-70.  
DOI: 10.1056/NEJMra1510062



**Umbrella trial**



**Basket trial**



## THE CHANGING FACE OF CLINICAL TRIALS

Jeffrey M. Drazen, M.D., David P. Harrington, Ph.D., John J.V. McMurray, M.D., James H. Ware, Ph.D.,  
and Janet Woodcock, M.D., *Editors*Master Protocols to Study Multiple  
Therapies, Multiple Diseases, or Both

Janet Woodcock, M.D., and Lisa M. LaVange, Ph.D.

**Table 1.** Types of Master Protocols.

Type of Trial	Objective
Umbrella	To study multiple targeted therapies in the context of a single disease
Basket	To study a single targeted therapy in the context of multiple diseases or disease subtypes
Platform	To study multiple targeted therapies in the context of a single disease in a perpetual manner, with therapies allowed to enter or leave the platform on the basis of a decision algorithm

- Umbrella and basket trials beyond cancer ?
- Treatment options for complex, mechanism-agnostic, biomarker profiles ?

# Data reuse, data sharing

# Reuse of health data for research purposes

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## ▪ Observational (cohorts) or interventional (trials)

- security, data protection, data standards, interoperability
  - reuse of healthcare data :
    - electronic health records
    - electronic data capture
  - reuse of national health databases and registries

Mc Cord *et al. Trials* (2018) 19:29  
DOI 10.1186/s13063-017-2394-5

Trials

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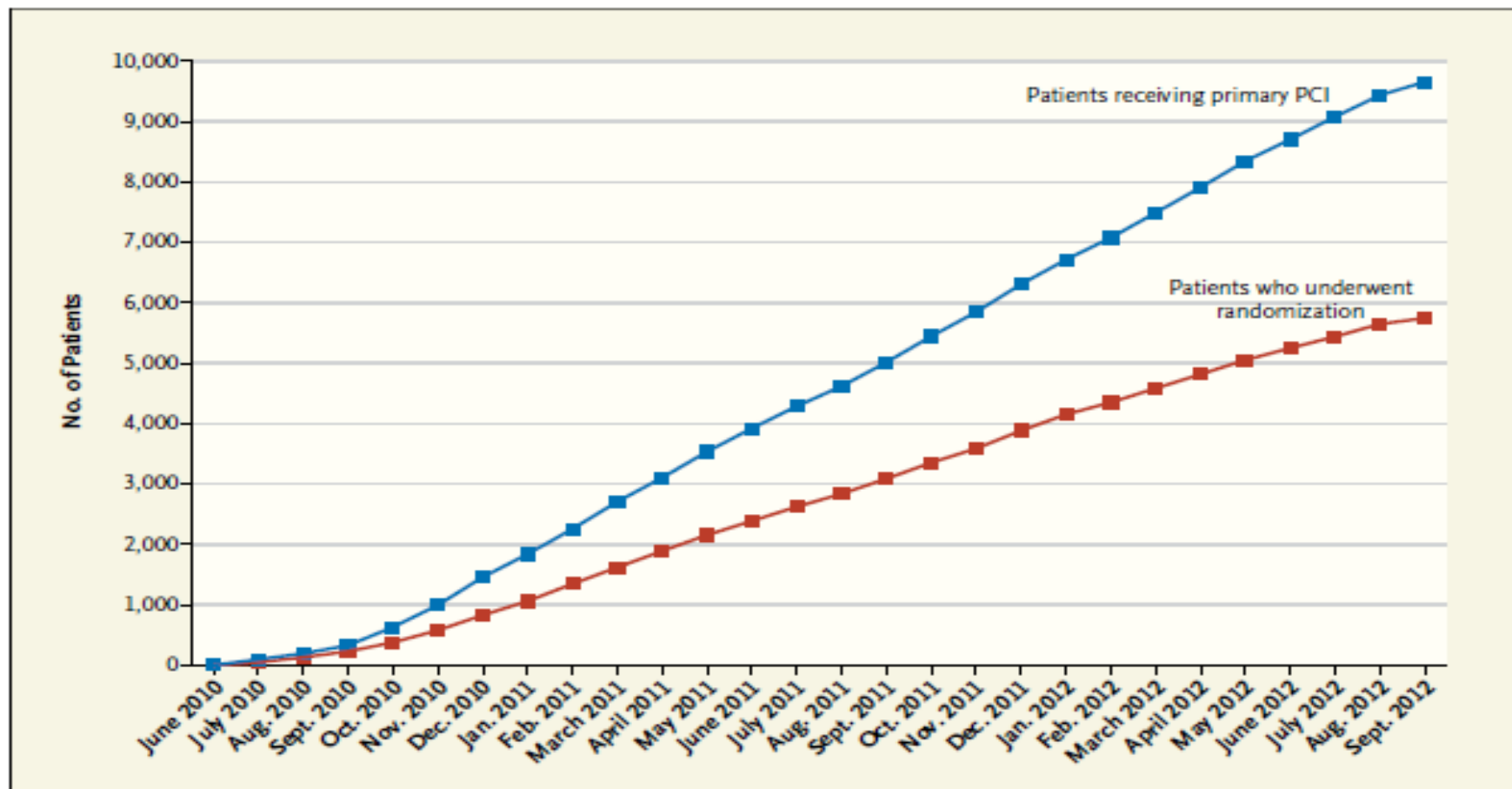
## Routinely collected data for randomized trials: promises, barriers, and implications

Kimberly A. Mc Cord<sup>1</sup>, Rustom Al-Shahi Salman<sup>2</sup>, Shaun Treweek<sup>3</sup>, Heidi Gardner<sup>3</sup>, Daniel Strech<sup>4</sup>, William Whiteley<sup>2</sup>, John P. A. Ioannidis<sup>5,6,7,8,9</sup> and Lars G. Hemkens<sup>1\*</sup>

# The Randomized Registry Trial — The Next Disruptive Technology in Clinical Research?

Michael S. Lauer, M.D., and Ralph B. D'Agostino, Sr., Ph.D.

N ENGL J MED 369:17 NEJM.ORG OCTOBER 24, 2013



**Rapid Randomization in the TASTE Trial, with Enrollment of Most Patients Receiving Primary Percutaneous Coronary Intervention (PCI).**

Adapted from the Institute of Medicine ([www.iom.edu/~media/Files/Activity%20Files/Quality/VISRT/LST%20Workshop/Presentations/Granger.pdf](http://www.iom.edu/~media/Files/Activity%20Files/Quality/VISRT/LST%20Workshop/Presentations/Granger.pdf)). The incremental cost of the Thrombus Aspiration in ST-Elevation Myocardial Infarction in Scandinavia (TASTE) trial was \$300,000, or \$50 for each participant who underwent randomization.



# CLINICAL TRIAL DATA SHARING

## BMJ Open Sharing and reuse of individual participant data from clinical trials: principles and recommendations

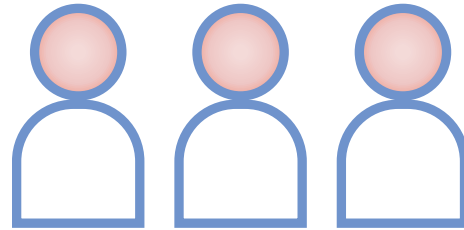
- ✓ data protection, GDPR
- ✓ informed consent
- ✓ anonymized / pseudonymized
- ✓ access (open vs. controlled)
- ✓ standard data format
- ✓ security
- ✓ type of repositories

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Christian Ohmann,<sup>1</sup> Rita Banzi,<sup>2</sup> Steve Canham,<sup>3</sup> Serena Battaglia,<sup>4</sup> Mihaela Matei,<sup>4</sup> Christopher Ariyo,<sup>5</sup> Lauren Becnel,<sup>6</sup> Barbara Bierer,<sup>7</sup> Sarion Bowers,<sup>8</sup> Luca Clivio,<sup>2</sup> Monica Dias,<sup>9</sup> Christiane Druml,<sup>10</sup> H el ene Faure,<sup>11</sup> Martin Fenner,<sup>12</sup> Jose Galvez,<sup>13</sup> Davina Gherzi,<sup>14</sup> Christian Gluud,<sup>15</sup> Trish Groves,<sup>16</sup> Paul Houston,<sup>6</sup> Ghassan Karam,<sup>17</sup> Dipak Kalra,<sup>18</sup> Rachel L Knowles,<sup>19</sup> Karmela Krle a-Jeri ,<sup>20</sup> Christine Kubiak,<sup>4</sup> Wolfgang Kuchinke,<sup>21</sup> Rebecca Kush,<sup>22,23</sup> Ari Lukkarinen,<sup>5</sup> Pedro Silverio Marques,<sup>24</sup> Andrew Newbigging,<sup>25,26</sup> Jennifer O'Callaghan,<sup>27</sup> Philippe Ravaud,<sup>28</sup> Irene Schl under,<sup>29</sup> Daniel Shanahan,<sup>11,30</sup> Helmut Sitter,<sup>31</sup> Dylan Spalding,<sup>32</sup> Catrin Tudur-Smith,<sup>33</sup> Peter van Reusel,<sup>6</sup> Evert-Ben van Veen,<sup>34,35</sup> Gerben Rienk Visser,<sup>36</sup> Julia Wilson,<sup>8</sup> Jacques Demotes-Mainard<sup>4</sup>

development of data sharing plans, tools and services

*Ohmann et al., BMJ Open 2017;7:e018647*  
<http://bmjopen.bmj.com/cgi/content/full/bmjopen-2017-018647?ijkey=79SivGTa9igpfbN&keytype=ref>



**Thank you!**

Any questions?